



NEW INDIA RETAILING & INVESTMENT LIMITED

REGD. OFFICE : 9/1, R. N. MUKHERJEE ROAD, (5TH FLOOR), KOLKATA - 700 001, PHONE : 2248-7068, 2243-0497/8, FAX : 033-2248-6369
CIN : 15421WB1933PLC023070, Website : www.niril.in, E-MAIL : birlasugar@birla-sugar.com

Date: 28th June, 2021

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Ref. : Company Code : 10024004

Dear Sir,

Sub: Submission of Secretarial Compliance Report for the year ended 31st March, 2021

Pursuant to the Regulation 24A of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose the Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2021.

You are requested to kindly take the above information on record.

Thanking you,

Yours faithfully,
For New India Retailing & Investment Limited

Binaya Kumar Dash
Company Secretary & Compliance Officer



Encl. : as above

VINOD KOTHARI & COMPANY

Practising Company Secretaries
1006-1009, Krishna Building, 224 A.J.C. Bose
Road
Kolkata – 700 017, India
Phone: +91 – 33 – 2281 7715 | 1276 | 3742
email: corplaw@vinodkothari.com
Web: www.vinodkothari.com
Unique Code – P1996WB042300
PAN No - AAMFV6726E
Udyog Aadhar Number – WB10D0000448
GSTIN No. - 19AAMFV6726E1ZR

Secretarial compliance report of New India Retailing & Investment Limited for the year ended March 31, 2021

[Pursuant to the SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019]

We, M/s Vinod Kothari & Company, have examined:

- (a) all the documents and records made available to us and explanation provided by New India Retailing & Investment Limited (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,
for the year ended March 31, 2021 (“**Review Period**”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (**‘SEBI LODR Regulations’**);
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (**‘SEBI SAST Regulations’**);
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (**‘SEBI PIT Regulations’**);
- (d) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018(**‘SEBI ICDR Regulations’**);
- (e) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations,1993;

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Delhi office: A-467, First Floor, Defence Colony, New Delhi 110016, Ph -011-41315340

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- (f) The Securities and Exchange Board of India (Debenture Trustee) Regulations, 1993 (in relation to obligations of Issuer Company); Not Applicable
- (g) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; Not Applicable
- (h) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
None			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ ~~material subsidiaries~~ either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NONE				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

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Sr. No.	Observations of the Practising Company Secretary in the previous reports for the financial year 2019-20	Observations made in the secretarial compliance report for the year ended 31 st March, 2020	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
NONE				

(e)The listed entity has complied with the provisions of SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019, in terms of Para 6(A) and 6(B) of the said circular and has suitably modified the terms of appointment of its statutory auditors vide addendum letter dated 9th November, 2019.

For M/s Vinod Kothari & Company
Practising Company Secretaries



Pammy Jaiswal

Pammy Jaiswal
Partner

Membership No: ACS 48046

CP No.: 18059

UDIN: A048046C000286141

Date: 12th May, 2021

Place: Kolkata

Mumbai office: 403-406, Shreyas Chambers, Fort, Mumbai – 400001, Ph- 022-22614021

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